Sheet 1 of 1

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13913-147001	Application No. 10/706,377
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Erol Bozak et al.	
		Filing Date November 12, 2003	Group Art Unit <del>2173</del> 2109

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
SD	AA	Foster, Ian et al. <i>"The Anatomy of the Grid"</i> . Enabling Scalable Virtual Organizations. Argonne National Library, Argonne, IL; University of Chicago, Chicago, IL. University of Southern California, Marina del Rey, CA. 2001

Examiner Signature  /Soumya Dasgupta/	Date Considered  02/01/2007
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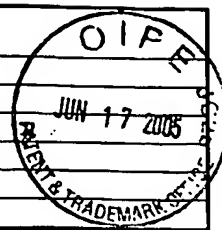
Substitute Disclosure Form (PTO-1449)

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<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Erol Bozak et al.	
		Filing Date November 12, 2003	Group Art Unit 2173 2109

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
SD	AA	Beiriger, Judy et al. <u>"Constructing the ASCI Computational Grid"</u> . Sandia National Laboratories, P.O. Box 5800, Albuquerque, NM 87185-1137.
SD	AB	Berman, Fran et al. <u>"Application-Level Scheduling on Distributed Heterogeneous Networks (Technical Paper)"</u> . Proceedings of Supercomputing '96. University of California - San Diego, La Jolla, CA.
SD	AC	Czajkowski, Karl et al. <u>"A Resource Management Architecture for Metacomputing Systems"</u> . University of Southern California, Marina del Rey, CA; Mathematics and Computer Science Division, Argonne, IL 60439.
SD	AD	Czajkowski, Karl et al. <u>"Grid Information Services for Distributed Resource Sharing"</u> . The University of Chicago; California State University, Northridge; University of Southern California; Argonne National Laboratory, Argonne, IL.
SD	AE	Ferris, Michael et al. <u>"NEOS and CONDOR: Solving Optimization Problems Over the Internet"</u> . CRPC-TR98763-S, March 1998. Rice University, Houston, TX.
SD	AF	Foster, Ian et al. <u>"A Grid-Enabled MPI: Message Passing in Heterogeneous Distributed Computing System"</u> . Argonne National Laboratory, Argonne, IL; Northern Illinois University, DeKalb, IL.
SD	AG	Foster, Ian et al. <u>"A Quality of Service Architecture that Combines Resource Reservation and Application Adaptation"</u> . Argonne National Laboratory, Argonne, IL; The University of Chicago, Chicago, IL. Central Institute for Applied Mathematics, Forschungszentrum Juelich GmbH, Juelich, Germany.
SD	AH	Foster, Ian et al. <u>"The Physiology of the Grid"</u> An Open Grid Services Architecture for Distributed Systems Integration. Argonne National Laboratory, Argonne, IL; University of Chicago, Chicago, IL; University of Southern California, Marina del Rey, CA; IBM Corporation, Poughkeepsie, NY.
SD	AI	Frey, James et al. <u>"Condor-G: A Computation Management Agent for Multi-Institutional Grids"</u> . University of Wisconsin, Madison, WI; Argonne National Laboratory, Argonne, IL.
SD	AJ	Goteti, Srikanth et al. <u>"Communication Pattern Based Node Selection for Shared Networks"</u> . ComStock, Division of Interactive Data Corp., Harrison, NY; University of Houston, Houston, TX.
SD	AK	Goux, Jean Pierre et al. <u>"An Enabling Framework for Master-Worker Applications on the Computational Grid"</u> , March 10, 2000.
SD	AL	Litzkow, Michael J. et al. <u>"Condor - A Hunter of Idle Workstations"</u> , University of Wisconsin, Madison, WI; 1988, IEEE.
SD	AM	Loweckamp, Bruce et al. <u>"A Resource Query Interface for Network-Aware Applications"</u> , Carnegie Mellon University, Pittsburgh, PA.
SD	AN	Papakhian, Mary et al. <u>"Comparing Job-Management Systems: The User's Perspective"</u> . Technology News and Reviews, IEEE Computational Science & Engineering, April-June 1998.
SD	AO	Sandholm, Thomas et al. <u>"Java OGSi Hosting Environment Design A Portable Grid Service Container Framework"</u> , Argonne National Laboratory, IL; IBM Poughkeepsie, NY
SD	AP	Tuecke, S. <u>"Open Grid Services Infrastructure (OGSI) Version 1.0"</u> , oggi-wg@ggf.org, June 27, 2003.

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IDS Form PTO/SB/08: Substitute for form 1449A/PTO  <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(Use as many sheets as necessary)</p>				<b>Complete if Known</b>	
				Application Number	10/706,377
				Filing Date	November 12, 2003
				First Named Inventor	Erol BOZAK et al.
				Art Unit	2479 - 2109
				Examiner Name	Unknown
				Attorney Docket Number	09700.0012-00000
Sheet	1	of	1		



U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
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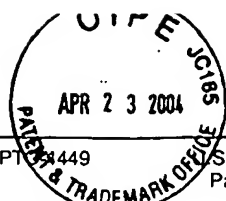
**Note:** Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
SD		Wäänänen, A., et al., "An Overview of an Architecture Proposal for a High Energy Physics Grid," Applied Parallel Computing, Advanced Scientific Computing, 6 <sup>th</sup> International Conference, Para 2002, Proceedings (Lecture Notes in Computer Science Vol. 2367) June 2002 pages 76-86.	
SD		Konya, B., "The NorduGrid Information System," retrieved from the Internet: <a href="http://www.nordugrid.org">http://www.nordugrid.org</a> , September 16, 2002.	
SD		Konya, B., et al., "The NorduGrid Architecture and Tools," retrieved from the internet: <a href="http://www.nordugrid.org">http://www.nordugrid.org</a> , March 2003.	
SD		Gawor et al., "CoG Kits: A Bridge Between Commodity Distributed Computing and High-Performance," retrieved from the Internet: <a href="http://www-unix.mcs.anl.gov">http://www-unix.mcs.anl.gov</a> , June 2000	
SD		International Search Report for PCT/EP2004/008408.	

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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Other Documents (include Author, Title, Date, and Place of Publication)		
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SD	AA	"About Grid computing," Retrieved from the Internet: <a href="http://www-1.ibm.com/grid/about_grid/index.shtml">http://www-1.ibm.com/grid/about_grid/index.shtml</a> 1 page
SD	AB	"FAQ," Retrieved from the Internet: <a href="http://www-1.ibm.com/grid/about_grid/faq.shtml">http://www-1.ibm.com/grid/about_grid/faq.shtml</a> 1-4.
SD	AC	"Grid Benefits," Retrieved from the Internet: <a href="http://www-1.ibm.com/grid/about_grid/benefits.shtml">http://www-1.ibm.com/grid/about_grid/benefits.shtml</a> 1-2.
SD	AD	"Grid Computing Q&A with Benny Souder, Vice President, Distributed Database Development, Database and Application Server Technologies" 1-9.
SD	AE	"How Sun™ Grid Engine, Enterprise Edition 5.3 Works," Policy Systems in Sun Grid Engine, Enterprise Edition 5.3 Software, 1-16 (November 2001).
SD	AF	"IBM Grid computing," Retrieved from the Internet: <a href="http://www-1.ibm.com/linux/grid/">http://www-1.ibm.com/linux/grid/</a> 1 page
SD	AG	"IBM and grid: E-business on demand," Retrieved from the Internet: <a href="http://www-1.ibm.com/grid/about_grid/ibm_grid/ebod.shtml">http://www-1.ibm.com/grid/about_grid/ibm_grid/ebod.shtml</a> 1 page.
SD	AH	"IBM and grid: How IBM is using grid," Retrieved from the Internet: <a href="http://www-1.ibm.com/grid/about_grid/ibm_grid/grid_today.shtml">http://www-1.ibm.com/grid/about_grid/ibm_grid/grid_today.shtml</a> 1 page.
SD	AI	"IBM and grid: Open standards," Retrieved from the Internet: <a href="http://www-1.ibm.com/grid/about_grid/ibm_grid/open_standards.shtml">http://www-1.ibm.com/grid/about_grid/ibm_grid/open_standards.shtml</a> 1 page.
SD	AJ	"IBM and grid: Overview," Retrieved from the Internet: <a href="http://www-1.ibm.com/grid/about_grid/ibm_grid/index.shtml">http://www-1.ibm.com/grid/about_grid/ibm_grid/index.shtml</a> 1 page.
SD	AK	"IBM and grid: Products and services," Retrieved from the Internet: <a href="http://www-1.ibm.com/grid/about_grid/ibm_grid/products_services.shtml">http://www-1.ibm.com/grid/about_grid/ibm_grid/products_services.shtml</a> 1-2.
SD	AL	"IBM and grid: Strategic relationships," Retrieved from the Internet: <a href="http://www-1.ibm.com/grid/about_grid/ibm_grid/strategic_relation.shtml">http://www-1.ibm.com/grid/about_grid/ibm_grid/strategic_relation.shtml</a> 1 page
SD	AM	"Oracle Grid Computing Technologies" Retrieved from the Internet: <a href="http://otn.oracle.com/products/oracle9i/grid_computing/index.html">http://otn.oracle.com/products/oracle9i/grid_computing/index.html</a> 1-2.
SD	AN	"Sun Cluster Grid Architecture," Sun Microsystems, Inc. 1-42 (2002).
SD	AO	"Sun's Grid Computing Solutions Outdistance the competition," Aberdeen Group, Inc. 1-20 (May 2002).
SD	AP	"Sun Powers the Grid," Sun Microsystems, Inc. 1-7 (February 2002).
SD	AQ	"What is grid computing," Retrieved from the Internet: <a href="http://www-1.ibm.com/grid/about_grid/what_is.shtml">http://www-1.ibm.com/grid/about_grid/what_is.shtml</a> 1 page.
SD	AR	Berstis, Viktors. "Fundamentals of Grid Computing," IBM Corporation, Redbooks Paper, 1-28 (2002).
SD	AS	Ferreira, et al., "Introduction to Grid Computing with Globus," IBM Corporation, Redbooks, 2nd Edition, 1-268, (2003).
SD	AT	Ferreira, et al., "Grid computing with the IBM Grid Toolbox," IBM Corporation, Redbooks, Draft, 1-281, Review (April 12, 2004).
SD	AU	Goyal, Brajesh, "Oracle and the Grid," An Oracle White Paper, Oracle Corporation, 1-15 (November 2002).
SD	AV	Injey, Franck. "External Time Reference (ETR) Requirements on z990," IBM Corporation, Redbooks Flash, 1-20 (2004).

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SD	AW	Lacan, Francis. "A Perfect World? A model for transformation," IBM Business Consulting Services, 1-12, (April 2003).
SD	AX	Min, et al. "A CICS to Linux Grid Implementation," IBM Corporation, Redbooks Paper, 1-24 (2003).
SD	AY	Smith, Gordon, "Oracle RAC 10g Overview," <i>An Oracle White Paper</i> , Oracle Corporation, 1-15 (November 2003).
SD	AZ	Soulder, Benny. "On The Grid," Oracle Corporation, 1-4 (2004).
SD	AAA	Zenses, Ralf. "NI Grid Advanced Architecture for SAP Operating concept for SAP Infrastructure," Germany/Austria Sun Microsystems GmbH, 1-34

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